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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yigong Shi

Serial No.: 09/965,967

Group Art Unit: Not Yet Assigned

Filed: September 28, 2001

Examiner: Not Yet Assigned

For: Compositions and Methods for Regulating Apoptosis

I, Janet E. Reed, Registration No. 36,252 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On April 23, 2002
Janet E. Reed
Registration No: 36,252

Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

PRELIMINARY AMENDMENT

Please amend the specification of above-identified application as follows:

1. After the last page of the specification and before the first page of claims, please insert the paper copy of the Sequence Listing submitted herewith.

2. At page 8, please replace the paragraph appearing at lines 20-30 with the following amended paragraph:

A2

—Fig. 6. Schematic diagram showing sequence alignment of the N-terminal peptides from Hid (SEQ ID NO:15), Grim (SEQ ID NO:16), Reaper (SEQ ID NO:17) and Smac (SEQ